

# A nonlocal problem arising in the study of magneto-elastic interactions

M. CHIPOT\*    I. SHAFRIR<sup>†</sup>    V. VALENTE<sup>‡</sup>  
G. VERGARA CAFFARELLI<sup>§</sup>

November 12, 2006

**keywords:** Magnetoelastic materials, nonconvex functionals, gradient flow, asymptotic behaviour

## Abstract

The energy of magneto-elastic materials is described by a nonconvex functional. Three terms of the total free energy are taken into account: the exchange energy, the elastic energy and the magneto-elastic energy usually adopted for cubic crystals. We focus our attention to a one dimensional penalty problem and study the gradient flow of the associated type Ginzburg-Landau functional. We prove the existence and uniqueness of a classical solution which tends asymptotically for subsequences to a stationary point of the energy functional.

## 1 Introduction

The paper deals with the analysis of the equation

$$\frac{d\mathbf{u}}{dt} = -\text{grad } F(\mathbf{u}) \tag{1.1}$$

where  $F(\mathbf{u})$  is a type Ginzburg-Landau functional, associated to the energy of a magneto-elastic material, which contains a nonlinear nonlocal term. The derivation of the energy functional  $F(\mathbf{u})$  is detailed in the next section starting from a general 3D-model depending on the displacements and the magnetization and assuming some

---

\*Institute of mathematics, Winterthurerstr. 190, CH-8057 Zürich, Switzerland. m.m.chipot@math.unizh.ch

<sup>†</sup>Department of Mathematics, Technion - I.I.T., 32000 Haifa, Israel. shafir@math.technion.ac.il

<sup>‡</sup>Istituto per le Applicazioni del Calcolo " M. Picone", V. Policlinico 137, 00161 Roma, Italy. valente@iac.rm.cnr.it

<sup>§</sup>Dept. MeMoMat, Universita' di Roma "La Sapienza" V. A. Scarpa 16, 00161 Roma, Italy. vergara@dmmm.uniroma1.it

simplifications. In particular in one-dimensional case the energy functional can be expressed in terms of the only magnetization variable and the equation (1.1) reduces to the following one

$$\mathbf{u}_t = \mathbf{u}_{xx} - \varepsilon^{-1}(|\mathbf{u}|^2 - 1)\mathbf{u} + \mu\Lambda(\mathbf{u})[\Lambda(\mathbf{u}) \cdot \mathbf{u} - \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx] \quad (1.2)$$

where  $\mathbf{u} = (u_1, u_2)$  and  $\Lambda(\mathbf{u}) = (u_2, u_1)$ .

The parameter  $\mu$  couples the elastic and magnetic processes and  $\varepsilon$  is the small positive parameter introduced to relax the constrain  $|\mathbf{u}| = 1$ .

To the equation (1.2) we assumed associated the boundary and initial conditions

$$\mathbf{u}_x(0, t) = \mathbf{u}_x(1, t) = 0, \quad \mathbf{u}(\mathbf{x}, 0) = \mathbf{u}^0(\mathbf{x}) \quad (1.3)$$

Firstly we study the minimization problem related to the energy functional  $F(\mathbf{u})$ , then we provide the existence and uniqueness of the solution  $\mathbf{u}$  to (1.2), (1.3) we show that  $\lim_{t \rightarrow \infty} \mathbf{u}(t) = \mathbf{u}_\infty$  exists and the function  $\mathbf{u}_\infty$  is a stationary point of the energy functional. The minimization problem presents some interesting features. For  $\mu$  small enough the absolute minimum of the energy functional is zero and it is achieved only by one-modulus constants (trivial solutions). Moreover there exists a value  $\mu^*$  such that for  $\mu \geq \mu^*$  the global minimum is negative and it is achieved only by non trivial functions. The characterization of the critical value  $\mu^*$  is the at the moment an open problem.

The rest of the paper is devoted to the analysis of the dynamical problem (1.2), (1.3) with the aim also to detect a procedure to reach nontrivial critical points of the energy functional.

## 2 The model

The behaviour of a magnetoelastic material is described by a system of differential equations in the two unknowns: the displacement vector and the magnetization vector. Let  $\Omega \subset \mathbb{R}^3$  the volume of the magnetoelastic material and  $\partial\Omega$  its boundary, the unknown magnetization vector  $\mathbf{m}$  is a map from  $\Omega$  to  $S^2$  (the unit sphere of  $\mathbb{R}^3$ ). The magnetization distribution is well described by a free energy functional which we assume composed of three terms namely the *exchange* energy  $E_{\text{ex}}$ , the *elastic* energy  $E_{\text{el}}$  and the *elastic-magnetic* energy  $E_{\text{em}}$ . Let  $\mathbf{v}$  be the displacement vector, then the total free energy  $E$  for a deformable magnetoelastic material is given by

$$E(\mathbf{m}, \mathbf{v}) = E_{\text{ex}}(\mathbf{m}) + E_{\text{em}}(\mathbf{m}, \mathbf{v}) + E_{\text{el}}(\mathbf{v})$$

We neglect here other contributions to the free energy due, for example, to anisotropy and demagnetization energy terms.

We refer to the books [1], [2]; moreover among the papers on this subject we quote [3], [4], [5], [6]. In the sequel we detail the three energetic terms and derive the governing differential equations. Some drastic hypotheses allows us to reach a reduced one

dimensional problem and to carry out the variational analysis for the associated energy functional.

## 2.1 The general 3D model

Let  $x_i$ ,  $i = 1, 2, 3$  be the position of a point  $\mathbf{x}$  of  $\Omega$  and denote by

$$v_i = v_i(\mathbf{x}), \quad i = 1, 2, 3$$

the components of the displacement vector  $\mathbf{v}$  and by

$$\epsilon_{kl}(\mathbf{v}) = \frac{1}{2}(v_{k,l} + v_{l,k}), \quad i, j = 1, 2, 3$$

the deformation tensor where, as a common praxis,  $v_{k,l}$  stands for  $\frac{\partial v_k}{\partial v_l}$ .

Moreover we denote by

$$m_j = m_j(\mathbf{x}), \quad j = 1, 2, 3$$

the component of the unit magnetization vector  $\mathbf{m}$ . In the sequel, where not specified, the Latin indices vary in the set  $\{1, 2, 3\}$  and the summation of the repeated indices is assumed. We define

$$E_{\text{ex}}(\mathbf{m}) = \frac{1}{2} \int_{\Omega} a_{ij} m_{k,i} m_{k,j} d\Omega \quad (2.1)$$

where  $(a_{ij})$  is a symmetric positive definite matrix which is supposed diagonal for most materials with all diagonal elements equal to a positive number  $a$ . The magneto-elastic energy for cubic crystals is assumed, that implies

$$E_{\text{em}}(\mathbf{m}, \mathbf{v}) = \frac{1}{2} \int_{\Omega} \lambda_{ijkl} m_i m_j \epsilon_{kl}(\mathbf{v}) d\Omega \quad (2.2)$$

where  $\lambda_{ijkl} = \lambda_1 \delta_{ijkl} + \lambda_2 \delta_{ij} \delta_{kl} + \lambda_3 (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})$  with  $\delta_{ijkl} = 1$  if  $i = j = k = l$  and  $\delta_{ijkl} = 0$  otherwise. Finally we introduce the elastic energy

$$E_{\text{el}}(\mathbf{v}) = \frac{1}{2} \int_{\Omega} \sigma_{klmn} \epsilon_{kl}(\mathbf{v}) \epsilon_{mn}(\mathbf{v}) d\Omega \quad (2.3)$$

where  $\sigma_{klmn}$  is the elasticity tensor satisfying the following symmetry property

$$\sigma_{klmn} = \sigma_{mnlk} = \sigma_{lkmn}$$

and moreover the inequality

$$\sum (\sigma_{klmn} \epsilon_{kl} \epsilon_{mn}) \geq \beta \sum |\epsilon_{kl}|^2$$

holds for some  $\beta > 0$ .

We consider the energy functional  $E$  is given by

$$E(\mathbf{m}, \mathbf{v}) = E_{\text{ex}}(\mathbf{m}) + E_{\text{em}}(\mathbf{m}, \mathbf{v}) + E_{\text{el}}(\mathbf{v}) \quad (2.4)$$

We introduce two tensors  $\mathcal{S} = \sigma_{ijkl}\epsilon_{ij}$  and  $\mathcal{L} = \lambda_{ijkl}m_i m_j$ , moreover we denote  $\mathbf{p}$  the vector  $\mathbf{p} = \lambda_{ijkl}m_j \epsilon_{kl} m_i$

The system of differential equations associated to the functional (2.4) reads

$$\begin{cases} \operatorname{div}(\mathcal{S} + \frac{1}{2}\mathcal{L}) = 0 & \text{in } \Omega \\ a\Delta\mathbf{m} - \mathbf{p} + (a|\nabla\mathbf{m}|^2 + \mathbf{p} \cdot \mathbf{m})\mathbf{m} = 0, & \text{in } \Omega \end{cases} \quad (2.5)$$

with boundary conditions

$$\mathbf{v} = 0, \quad \frac{\partial\mathbf{m}}{\partial\nu} = 0 \quad \text{on } \partial\Omega \quad (2.6)$$

where  $\nu$  is the outer unit normal at the boundary  $\partial\Omega$ .

An alternative form for describing the magnetoelastic interactions (2.5) is

$$\begin{cases} \operatorname{div}(\mathcal{S} + \frac{1}{2}\mathcal{L}) = 0 & \text{in } \Omega \\ \mathbf{m} \times (a\Delta\mathbf{m} - \mathbf{p}) = 0, \quad |\mathbf{m}| = 1 & \text{in } \Omega \end{cases} \quad (2.7)$$

## 2.2 The proposed 1D problem

A simplified model can be obtain assuming that  $\Omega$  is a subset of  $\mathbb{R}$  and neglecting some components of the unknowns  $\mathbf{v}$  and  $\mathbf{m}$ . More precisely we consider the single space variable  $x$  and assume  $\Omega = (0, 1)$ ,  $\mathbf{v} = (0, w, 0)$  and  $\mathbf{m} = (m_1, m_2, 0, .)$ . Moreover we put  $a = 1$ , and the only elements of the tensors  $\sigma_{ijkl}$  and  $\lambda_{ijkl}$  which live on equal to 1 and  $\lambda$  respectively. The above system (2.5) reduces to

$$\begin{cases} w_{xx} + \frac{1}{2}\lambda(\Lambda(\mathbf{m}) \cdot \mathbf{m})_x = 0 \\ \mathbf{m}_{xx} - \lambda\Lambda(\mathbf{m})w_x + (|\mathbf{m}_x|^2 + \lambda\Lambda(\mathbf{m}) \cdot \mathbf{m}w_x)\mathbf{m} = 0 \end{cases} \quad (2.8)$$

where  $\Lambda$  is a linear operator such that  $\Lambda(\mathbf{m}) = (m_2, m_1)$ . Formally solving the first equation with the boundary condition (2.6)<sub>1</sub> one gets to the following functional equation for the unknown  $\mathbf{m}$ ,

$$\mathbf{m}_{xx} - \mu\Lambda(\mathbf{m})G(\mathbf{m}) + (|\mathbf{m}_x|^2 + \mu\Lambda(\mathbf{m}) \cdot \mathbf{m}G(\mathbf{m}))\mathbf{m} = 0 \quad (2.9)$$

where  $\mu = \lambda^2/2$  and

$$G(\mathbf{m}) = \Lambda(\mathbf{m}) \cdot \mathbf{m} - \int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx$$

Our aim is to study the problem (2.9) with the boundary condition

$$\mathbf{m}_x(0) = \mathbf{m}_x(1) = 0 \quad (2.10)$$

We propose to relax the constraint  $|\mathbf{m}| = 1$  and introduce a penalty version of the previous system (2.8), (2.6) depending on a small positive parameter  $\varepsilon$  that is

$$\begin{cases} \mathbf{m}_{xx}^\varepsilon - \lambda \Lambda(\mathbf{m}^\varepsilon) w_x - \varepsilon^{-1} (|\mathbf{m}^\varepsilon|^2 - 1) \mathbf{m}^\varepsilon = 0 \\ w_{xx}^\varepsilon + \frac{1}{2} \lambda (\Lambda(\mathbf{m}^\varepsilon) \cdot \mathbf{m}^\varepsilon)_x = 0 \end{cases} \quad (2.11)$$

We get to the following penalty nonlocal equation

$$\mathbf{m}_{xx}^\varepsilon - \varepsilon^{-1} (|\mathbf{m}^\varepsilon|^2 - 1) \mathbf{m}^\varepsilon + \mu \Lambda(\mathbf{m}^\varepsilon) [\Lambda(\mathbf{m}^\varepsilon) \cdot \mathbf{m}^\varepsilon - \int_0^1 \Lambda(\mathbf{m}^\varepsilon) \cdot \mathbf{m}^\varepsilon dx] = 0 \quad (2.12)$$

with boundary conditions

$$\mathbf{m}_x^\varepsilon(0) = \mathbf{m}_x^\varepsilon(1) = 0 \quad (2.13)$$

Here we focus our attention at the analysis of the equations (2.12)–(2.13).

### 3 The minimization problem

The equation (2.12) is the Euler-Lagrange equation of the energy functional

$$\begin{aligned} F(\mathbf{m}) = F_{\mu,\varepsilon}(\mathbf{m}) = & \frac{1}{2} \int_0^1 |\mathbf{m}_x|^2 dx + \frac{\varepsilon^{-1}}{4} \int_0^1 (|\mathbf{m}|^2 - 1)^2 dx \\ & - \frac{\mu}{4} \left[ \int_0^1 (\Lambda(\mathbf{m}) \cdot \mathbf{m})^2 dx - \left( \int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx \right)^2 \right] \end{aligned} \quad (3.1)$$

We consider the minimization problem

$$\mathcal{F}_{\mu,\varepsilon} = \inf_{\mathbf{m} \in \mathbf{H}^1(0,1)} F(\mathbf{m}). \quad (3.2)$$

**Theorem 3.1** *For each  $\mu$  and for each positive  $\varepsilon$  small enough, i.e., such that  $\varepsilon^{-1} - \mu > 0$ , the minimum of the functional  $F(\mathbf{m})$  is achieved by a function  $\mathbf{m}^\varepsilon \in \mathbf{H}^1(0,1)$ . Furthermore,  $\mathbf{m}^\varepsilon$  is a solution (2.12)–(2.13) and is therefore of class  $C^\infty$ .*

PROOF. First of all we observe that

$$\begin{aligned} \left( \int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx \right)^2 & \leq \int_0^1 (\Lambda(\mathbf{m}) \cdot \mathbf{m})^2 dx \leq \int_0^1 |\mathbf{m}|^4 dx = \\ & = \int_0^1 (|\mathbf{m}|^2 - 1 + 1)^2 dx \leq \left(1 + \frac{1}{\delta}\right) + (1 + \delta) \int_0^1 (|\mathbf{m}|^2 - 1)^2 dx \end{aligned}$$

So we have:

(i) If  $\varepsilon^{-1} - \mu > 0$  then for  $\delta$  small enough  $\varepsilon^{-1} - (1 + \delta)\mu \geq 0$  and the functional  $F(\mathbf{m})$  is bounded from below. Indeed,

$$F(\mathbf{m}) \geq \frac{1}{2} \int_0^1 |\mathbf{m}_x|^2 dx + \frac{\varepsilon^{-1} - (1 + \delta)\mu}{4} \int_0^1 (|\mathbf{m}|^2 - 1)^2 dx - (1 + \frac{1}{\delta}) \frac{\mu}{4} \geq -\frac{\mu}{2}$$

(ii) The functional  $F(\mathbf{m})$  is coercive, i.e.,

$$F(\mathbf{m}) \rightarrow +\infty, \quad \text{as } \|\mathbf{m}\|_{\mathbf{H}^1(0,1)} \rightarrow \infty.$$

This follows easily from the inequality  $(|\mathbf{m}|^2 - 1)^2 \geq |\mathbf{m}|^2 - 5/4$ .

(iii) The functional is weakly lower semicontinuous, that is: if  $\{\mathbf{m}_n\}$  is a sequence of functions in  $\mathbf{H}^1(0, 1)$  such that  $\mathbf{m}_n \rightharpoonup \mathbf{m}$  weakly in  $\mathbf{H}^1(0, 1)$ , then

$$\liminf_{n \rightarrow \infty} F(\mathbf{m}_n) \geq F(\mathbf{m}).$$

Indeed, for such a weakly convergent sequence we have

$$\int_0^1 |\mathbf{m}_x|^2 dx \leq \liminf_{n \rightarrow \infty} \int_0^1 |(\mathbf{m}_n)_x|^2 dx,$$

$|\mathbf{m}_n|^2 \rightharpoonup |\mathbf{m}|^2$  and  $\Lambda(\mathbf{m}_n) \cdot \mathbf{m}_n \rightarrow \Lambda(\mathbf{m}) \cdot \mathbf{m}$  strongly in  $L^2(0, 1)$ .

Since the functional (3.1) is  $C^1$ , it follows that the stationary points of  $F$  are solutions to the Euler-Lagrange equations (2.12)–(2.13), and it is easily verified that any solution to this one-dimensional problem is of class  $C^\infty$ .  $\square$

**Remark 3.2** *The result is sharp since for  $\varepsilon > \frac{1}{\mu}$  then  $F$  is unbounded from below. Indeed suppose that  $1 - \frac{1}{\mu\varepsilon} > 0$ . Consider the following function  $f = (\delta - x)^+$ . One has*

$$\left(\int_0^1 f^2\right)^2 / \int_0^1 f^4 = \left(\int_0^\delta (\delta - x)^2\right)^2 / \int_0^\delta (\delta - x)^4 = \frac{\delta^6}{9} / \frac{\delta^5}{5} = \frac{5}{9}\delta < 1 - \frac{1}{\mu\varepsilon}$$

for  $\delta$  small enough. So we choose  $\delta$  small enough such that

$$\left(\int_0^1 f^2\right)^2 < \left(1 - \frac{1}{\mu\varepsilon}\right) \int_0^1 f^4.$$

Next we consider  $m = \alpha f(x)(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}})$ . Then we have

$$\begin{aligned} F(\mathbf{m}) &= \frac{1}{2}\alpha^2 \int_0^1 f'(x)^2 + \frac{1}{4\varepsilon} \int_0^1 (\alpha^2 f(x)^2 - 1)^2 - \frac{\mu}{4} \int_0^1 \alpha^4 f^4 + \frac{\mu}{4} \left(\int_0^1 \alpha^2 f^2\right)^2 \\ &= \frac{1}{2}\alpha^2 \int_0^1 f'(x)^2 + \frac{\alpha^4}{4} \mu \left\{ \frac{1}{\varepsilon\mu} \int_0^1 (f^2 - \frac{1}{\alpha^2})^2 - \int_0^1 f^4 + \left(\int_0^1 f^2\right)^2 \right\}. \end{aligned}$$

For  $\alpha$  large enough one has

$$\frac{1}{\varepsilon\mu} \int_0^1 (f^2 - \frac{1}{\alpha^2})^2 - \int_0^1 f^4 + (\int_0^1 f^2)^2$$

close to  $(\frac{1}{\varepsilon\mu} - 1) \int_0^1 f^4 + (\int_0^1 f^2)^2 < 0$  and thus  $F(\mathbf{m}) \rightarrow -\infty$  when  $\alpha \rightarrow +\infty$ .

The functional  $F(\mathbf{m})$  has some obvious symmetry properties. We have clearly  $F(\mathcal{S}_i(\mathbf{m})) = F(\mathbf{m})$  for each  $\mathcal{S}_i$  in the group

$$\mathcal{G} = \{\mathcal{S}_0, \dots, \mathcal{S}_7\} \quad (3.3)$$

generated by the rotation by  $\pi/2$  and the complex conjugation.

**Lemma 3.3** *Let  $\mathbf{m}$  be a solution of the problem (2.12)–(2.13) which verifies  $F(\mathbf{m}) \leq 0$ . Then, for each  $\varepsilon$  such that  $\varepsilon^{-1} \geq 2\mu$  the following a-priori estimate holds,*

$$|\mathbf{m}|^2 \leq K := \frac{\varepsilon^{-1} + \mu \sqrt{\frac{\varepsilon^{-1}}{\varepsilon^{-1} + \mu}}}{\varepsilon^{-1} - \mu}. \quad (3.4)$$

PROOF. By the assumption on  $\mathbf{m}$  we have

$$\frac{\varepsilon^{-1}}{4} \int_0^1 ||\mathbf{m}|^2 - 1|^2 dx + \frac{\mu}{4} \left[ (\int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx)^2 - \int_0^1 (\Lambda(\mathbf{m}) \cdot \mathbf{m})^2 dx \right] \leq 0.$$

Combining it with the inequality  $\int_0^1 |\mathbf{m}|^4 dx \leq (1 + \delta) \int_0^1 (|\mathbf{m}|^2 - 1)^2 dx + (1 + \frac{1}{\delta})$ , yields

$$\frac{\varepsilon^{-1}}{4} \int_0^1 ||\mathbf{m}|^2 - 1|^2 dx + \frac{\mu}{4} (\int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx)^2 - (1 + \delta) \frac{\mu}{4} \int_0^1 (|\mathbf{m}|^2 - 1)^2 dx \leq \frac{\mu}{2}.$$

Therefore, for  $\varepsilon^{-1} > \mu$  for any  $\delta$  such that  $\varepsilon^{-1} - (1 + \delta)\mu \geq 0$  i.e.  $\frac{1}{\delta} \leq \frac{\mu}{\varepsilon^{-1} - \mu}$  we have

$$(\int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx)^2 \leq (1 + \frac{1}{\delta}). \quad (3.5)$$

Now we multiply the Euler equation (2.12) by  $\mathbf{m}$  and write the equation for  $|\mathbf{m}|^2$ :

$$-\frac{1}{2} \frac{d^2}{dx^2} |\mathbf{m}|^2 + |\mathbf{m}_x|^2 + \varepsilon^{-1} (|\mathbf{m}|^2 - 1) |\mathbf{m}|^2 - \mu (\Lambda(\mathbf{m}) \cdot \mathbf{m})^2 + \mu \Lambda(\mathbf{m}) \cdot \mathbf{m} \int_0^1 \Lambda(\mathbf{m}) \cdot \mathbf{m} dx = 0.$$

Using (3.5) we obtain

$$-\frac{1}{2} \frac{d^2}{dx^2} |\mathbf{m}|^2 + \varepsilon^{-1} (|\mathbf{m}|^2 - 1) |\mathbf{m}|^2 - \mu |\mathbf{m}|^4 - \mu \sqrt{1 + \frac{1}{\delta}} |\mathbf{m}|^2 \leq 0,$$

that is

$$-\frac{1}{2} \frac{d^2}{dx^2} |\mathbf{m}|^2 + (\varepsilon^{-1} - \mu) |\mathbf{m}|^2 \left( |\mathbf{m}|^2 - \frac{\varepsilon^{-1} + \mu \sqrt{1 + \frac{1}{\delta}}}{\varepsilon^{-1} - \mu} \right) \leq 0,$$

or equivalently, choosing  $\frac{1}{\delta} = \frac{\mu}{\varepsilon^{-1} - \mu}$  setting  $K = (\varepsilon^{-1} + \mu \sqrt{\frac{\varepsilon^{-1}}{\varepsilon^{-1} - \mu}}) / (\varepsilon^{-1} - \mu)$ ,

$$-\frac{1}{2} \frac{d^2}{dx^2} (|\mathbf{m}|^2 - K) + (\varepsilon^{-1} - \mu) |\mathbf{m}|^2 (|\mathbf{m}|^2 - K) \leq 0.$$

By the maximum principle, applied to the function  $h = |\mathbf{m}|^2 - K$ , we get that  $h \leq 0$ , i.e.,  $|\mathbf{m}|^2 \leq K$ .  $\square$

Let us denote by  $\lambda_2$  the first nontrivial eigenvalue for the Neumann problem:

$$\begin{cases} -f_{xx} = \lambda f & \text{in } (0, 1), \\ f_x(0) = f_x(1) = 0. \end{cases} \quad (3.6)$$

It is well known that  $\lambda_2 = \pi^2$  and that it yields the optimal constant in the following Poincaré inequality,

$$\int_0^1 |g_x|^2 dx \geq \lambda_2 \int_0^1 (g(x) - \int_0^1 g(t) dt)^2 dx, \quad \forall g \in H^1(0, 1). \quad (3.7)$$

Next, we analyze the minimization problem (3.2) restricted to  $S^1$ -valued maps.

**Proposition 3.4** *Put*

$$I(\mu) = \inf_{\mathbf{m} \in H^1((0,1); S^1)} F(\mathbf{m}). \quad (3.8)$$

*Then:*

(i) *For  $\mu \leq \lambda_2/2$  we have  $I(\mu) = 0$  and the minimum is attained only by constant functions,  $\mathbf{m} \equiv \boldsymbol{\alpha} \in S^1$ .*

(ii) *For  $\mu > \lambda_2/2$  we have  $I(\mu) < 0$  and the minimum is attained by  $\mathbf{m}^0 = e^{i\phi^0}$  where  $\phi^0$  is a nontrivial solution of the problem*

$$\begin{cases} -\phi_{xx}^0 = \mu \left( \sin 2\phi^0 - \int_0^1 \sin 2\phi^0 dt \right) \cos 2\phi^0 & \text{in } (0, 1), \\ \phi_x^0(0) = \phi_x^0(1) = 0. \end{cases} \quad (3.9)$$

PROOF. Each  $\mathbf{m} \in H^1((0, 1); S^1)$  can be written as  $\mathbf{m} = e^{i\phi}$  for some  $\phi \in H^1((0, 1); \mathbb{R})$ . For such  $\mathbf{m}$  we may rewrite the energy in (3.1) as

$$F(\mathbf{m}) = \frac{1}{2} \int_0^1 |\phi_x|^2 dx - \frac{\mu}{4} \int_0^1 \left( \sin 2\phi - \int_0^1 \sin 2\phi dt \right)^2 dx. \quad (3.10)$$

The function  $f = \sin 2\phi$  satisfies  $f_x = 2(\cos 2\phi)\phi_x$ , so that

$$|\phi_x| = \frac{|f_x|}{2|\cos 2\phi|} \geq \frac{|f_x|}{2}. \quad (3.11)$$

Write the r.h.s. of (3.10) as a sum of two integrals to obtain

$$F(\mathbf{m}) = \int_0^1 \left( \frac{1}{2}\phi_x^2 - \frac{1}{8}f_x^2 \right) dx + \int_0^1 \left( \frac{1}{8}f_x^2 - \frac{\mu}{4}(\sin 2\phi - \int_0^1 \sin 2\phi dt)^2 \right) dx := I_1 + I_2. \quad (3.12)$$

Clearly, for  $\mu < \lambda_2/2$  and any  $f \not\equiv \text{const}$  we have by (3.11) and (3.7) that  $I_1 > 0$  and  $I_2 > 0$ . For  $\mu = \lambda_2/2$  and  $f \not\equiv \text{const}$  we have still  $I_1 > 0$  while  $I_2$  is nonnegative. This yields assertion (i) of the proposition.

Assume next that  $\mu > \lambda_2/2$ . From the optimality of  $\lambda_2$  in (3.7) follows the existence of  $\tilde{f} \in H^1((0,1); \mathbb{R})$  with

$$\int_0^1 \left( \frac{1}{8}|\tilde{f}_x|^2 - \frac{\mu}{4}\tilde{f}^2 \right) dx = -c < 0 \quad \text{and} \quad \int_0^1 \tilde{f} dx = 0.$$

For  $t > 0$  small enough set  $\psi^{(t)} = \frac{1}{2} \arcsin(t\tilde{f})$  and then  $\mathbf{m}^{(t)} = e^{i\psi^{(t)}}$ . Using (3.12) we get

$$F(\mathbf{m}^{(t)}) = -ct^2 + O(t^4) < 0, \quad \text{for } t \text{ small enough.}$$

This yields  $I(\mu) < 0$ , and the existence of a minimizer,  $\mathbf{m}^0 = e^{i\phi^0}$  with  $\phi^0$  a nontrivial solution of (3.9) is obvious. This completes the proof of assertion (ii).  $\square$

A more precise description of the minimizers in the case  $\mu > \lambda_2/2 = \pi^2/2$  is given by the next proposition.

**Proposition 3.5** *In the case  $\mu > \lambda_2/2$  the minimizer  $\mathbf{m}^0 = e^{i\phi^0}$  is unique modulo the operation of the symmetry group  $\mathcal{G}$  (see (3.3)), namely, up to performing the operations:*

$$\phi^0 \leftarrow \phi^0 + k\pi/2 \quad \text{or} \quad \phi^0 \leftarrow -\phi^0 + k\pi/2, \quad k \in \mathbb{Z}. \quad (3.13)$$

*Such a unique representative of the minimizers can be chosen which is a strictly increasing function on  $[0, 1]$  that satisfies*

$$\phi_0(x) = -\phi_0(1-x) \quad x \in [0, 1]. \quad (3.14)$$

PROOF. Setting

$$a = \int_0^1 \sin 2\phi^0 dx,$$

we can rewrite (3.9) as

$$\begin{cases} -\phi_{xx}^0 = \mu(\sin 2\phi^0 - a) \cos 2\phi^0 & \text{in } (0, 1), \\ \phi_x^0(0) = \phi_x^0(1) = 0. \end{cases} \quad (3.15)$$

The rest of the proof is divided to several steps.

Step 1:  $\phi^0$  is strictly monotone.

Replacing  $\phi^0$  by its increasing rearrangement  $(\phi^0)^*$  will decrease the first term on the r.h.s. of (3.10) (strictly, if  $\phi^0$  is not a monotone function), without changing the second term on the r.h.s. of (3.10). Since we may replace  $\phi^0$  by  $-\phi^0$  we can assume in the sequel that  $\phi_x^0 \geq 0$  in  $[0, 1]$ . We next claim that actually we have:

$$\phi_x^0 > 0 \quad \text{on } (0, 1). \quad (3.16)$$

Indeed, the function  $\psi = \phi_x^0$  satisfies

$$\begin{cases} -\psi_{xx} = 2\mu(\cos 4\phi^0 + a \sin 2\phi^0)\psi & \text{in } (0, 1), \\ \psi \geq 0 \text{ in } (0, 1), \psi(0) = \psi(1) = 0. \end{cases} \quad (3.17)$$

Since  $\psi \not\equiv 0$  we deduce (3.16) from the maximum principle.

Step 2:  $|\sin 2\phi^0| < 1$  in  $(0, 1)$  and  $\sin 2\phi^0$  is strictly monotone increasing on  $[0, 1]$ .

Looking for contradiction, assume for example that  $\sin 2\phi^0(x_0) = 1$  for some  $x_0 \in (0, 1)$ . By (3.13) we may assume that  $\phi^0(x_0) = \pi/4$ . Set  $\tilde{\phi}(x) = \pi/2 - \phi^0(2x_0 - x)$ . It is easy to verify that  $\tilde{\phi}$  satisfies the equation in (3.15), and also  $\tilde{\phi}(x_0) = \phi^0(x_0)$ ,  $\tilde{\phi}_x(x_0) = \phi_x^0(x_0)$ . By the uniqueness theory for ODE we deduce that  $\tilde{\phi} = \phi^0$ , i.e.,  $\phi^0(x) = \pi/2 - \phi^0(2x_0 - x)$ . For the boundary conditions in (3.15) to hold, the only possibility is that  $x_0 = 1/2$ . We thus conclude that

$$\phi^0(x) = \pi/2 - \phi^0(1 - x), \quad x \in (0, 1). \quad (3.18)$$

The relation (3.18) implies that

$$a = \int_0^1 \sin 2\phi^0 dx = 2 \int_0^{1/2} \sin 2\phi^0 dx = \int_0^{1/2} \sin 2\phi^0 dx.$$

Defining the following functional on  $H^1(0, 1/2)$ ,

$$F_{1/2}(e^{i\phi}) = \frac{1}{2} \int_0^{1/2} |\phi_x|^2 dx - \frac{\mu}{4} \int_0^{1/2} \left( \sin 2\phi - \int_0^{1/2} \sin 2\phi dt \right)^2 dx,$$

we conclude that

$$F(e^{i\phi^0}) = 2F_{1/2}(e^{i\phi^0}). \quad (3.19)$$

Set, analogously to (3.8),

$$I_{1/2}(\mu) = \inf_{\mathbf{m} \in H^1((0, 1/2); S^1)} F_{1/2}(\mathbf{m}). \quad (3.20)$$

The minimum in (3.20) is achieved by some function  $\phi^1 \in H^1(0, 1/2)$  by the same proof as that of Proposition 3.4. Since  $\phi_x^0(1/2) > 0$ , the restriction of  $\phi^0$  to  $(0, 1/2)$  is not a minimizer and therefore,

$$F_{1/2}(e^{i\phi^1}) < F_{1/2}(e^{i\phi^0}). \quad (3.21)$$

We can extend  $\phi^1$  to a function  $\tilde{\phi}^1 \in H^1(0, 1)$  by setting

$$\tilde{\phi}^1(x) = \phi^1(1-x) \quad \text{for } x \in [1/2, 1].$$

Combining it with (3.21) and (3.19) we deduce that  $F(e^{i\tilde{\phi}^1}) < F(e^{i\phi^0})$ . This contradiction completes the proof of the assertion  $|\sin 2\phi^0| < 1$  in  $(0, 1)$ .

In view of the above and Step 1 we conclude that the function  $\sin 2\phi^0$  is strictly increasing on  $[0, 1]$ . By adding an integer multiple of  $\pi/4$ , see (3.13), we may assume that the image of the interval  $(0, 1)$  by  $\phi^0$  is contained in  $(-\pi/4, \pi/4)$ . The uniqueness for that representative of the phase of the minimizer will be established in the sequel.

Step 3:  $a = 0$ .

Multiplying the equation in (3.15) and integrating yields

$$(\phi_x^0)^2 = c^2 - \frac{\mu}{2}(\sin 2\phi^0 - a)^2 \quad \text{on } [0, 1], \quad (3.22)$$

for some positive constant  $c$ . Write the roots of the polynomial  $p(t) = c^2 - (\mu/2)(t-a)^2$  as  $a-b$  and  $a+b$  for some  $b > 0$ , i.e.,  $p(t) = (\mu/2)(a+b-t)(t-a+b)$ . By Steps 1 and 2, (3.22), and the boundary condition in (3.15) it follows that

$$\sin 2\phi^0(0) = a-b \quad \text{and} \quad \sin 2\phi^0(1) = a+b. \quad (3.23)$$

Assume by negation that  $a \neq 0$ . Then, from (3.23) it follows, in particular, that  $-1 < \sin 2\phi^0(0) < \sin 2\phi^0(1) < 1$ . Next, we exploit the following two integral conditions. First,

$$\begin{aligned} 1 &= \int_0^1 dx = \int_{\frac{1}{2}\sin^{-1}(a-b)}^{\frac{1}{2}\sin^{-1}(a+b)} \frac{d\phi}{p^{\frac{1}{2}}(\sin 2\phi)} = \int_{a-b}^{a+b} \frac{dt}{\sqrt{2\mu(a+b-t)(t-a+b)(1-t^2)}} \\ &= \int_{-b}^b \frac{ds}{\sqrt{2\mu(b-s)(b+s)(1-(a+s)^2)}}. \end{aligned} \quad (3.24)$$

Similarly,

$$\begin{aligned} a &= \int_0^1 \sin 2\phi^0(x) dx = \int_{\frac{1}{2}\sin^{-1}(a-b)}^{\frac{1}{2}\sin^{-1}(a+b)} \frac{\sin 2\phi d\phi}{p^{\frac{1}{2}}(\sin 2\phi)} \\ &= \int_{-b}^b \frac{(s+a) ds}{\sqrt{2\mu(b-s)(b+s)(1-(a+s)^2)}}. \end{aligned} \quad (3.25)$$

From (3.24) and (3.25) we deduce that

$$\begin{aligned} 0 &= \int_{-b}^b \frac{s ds}{\sqrt{2\mu(b-s)(b+s)(1-(a+s)^2)}} \\ &= \int_0^b \frac{s}{\sqrt{2\mu(b-s)(b+s)}} \left( \frac{1}{\sqrt{1-(a+s)^2}} - \frac{1}{\sqrt{1-(a-s)^2}} \right) ds. \end{aligned} \quad (3.26)$$

But it is clear that the r.h.s. of (3.26) is strictly positive for  $a > 0$  and strictly negative for  $a < 0$ , so in either case we are led to a contradiction.

Step 4: Conclusion.

Going back to (3.22) we can now write

$$(\phi_x^0)^2 = c^2 - \frac{\mu}{2} \sin^2 2\phi^0 = \frac{\mu}{2} (b - \sin 2\phi^0)(b + \sin 2\phi^0) \quad \text{on } [0, 1],$$

with  $b = c\sqrt{2/\mu}$ . The equation (3.24) now reads

$$\sqrt{2\mu} = \int_{-b}^b \frac{ds}{\sqrt{(b-s)(b+s)(1-s^2)}} = \int_{-\pi/2}^{\pi/2} \frac{d\theta}{\sqrt{1-b^2 \sin^2 \theta}}. \quad (3.27)$$

Since we assume that  $\mu > \frac{\pi^2}{2}$ , it follows that there is a *unique*  $b > 0$  for which (3.27) holds.

Next, there is a unique point  $x_0 \in (0, 1)$  where  $0 = \phi^0(x_0) = \sin 2\phi^0(x_0)$ . At that point,  $\phi_x^0(x_0) = b\sqrt{\mu/2}$ . The function  $\tilde{\phi}(x) = -\phi^0(2x_0 - x)$  solves the equation

$$-\tilde{\phi}_{xx} = \mu \sin 2\tilde{\phi} \cos 2\tilde{\phi} \quad \text{in } (0, 1), \quad (3.28)$$

with the initial conditions

$$\tilde{\phi}(x_0) = \phi^0(x_0) = 0 \quad \text{and} \quad \tilde{\phi}_x(x_0) = \phi_x^0(x_0) = \sqrt{\frac{\mu}{2}}b. \quad (3.29)$$

Since there is a unique solution to (3.28)–(3.29), it follows that  $\phi^0 = \tilde{\phi}$ . Since  $\tilde{\phi}_x(2x_0) = 0$  we must have  $x_0 = 1/2$  and the symmetry property (3.14) holds. The uniqueness assertion of the proposition follows from the uniqueness for the initial problem (3.28)–(3.29) for  $x_0 = 1/2$ .  $\square$

Next we present a convergence result that will be used in our main theorem.

**Proposition 3.6** *For each  $\mu > 0$ , any sequence of minimizers  $\{\mathbf{m}_{\varepsilon_n}\}$ , with  $\varepsilon_n \rightarrow 0$ , has a subsequence which converges in  $H^1(0, 1)$  and in  $C[0, 1]$  to  $\mathbf{m}^0 \in C^\infty([0, 1]; S^1)$  which is a minimizer for  $I(\mu)$ .*

PROOF. Note that  $F(\mathbf{m}^\varepsilon) \leq F(\boldsymbol{\alpha}) = 0$  for any constant  $\boldsymbol{\alpha} \in S^1$ . Using (3.4) we conclude that for  $\varepsilon < \frac{1}{2\mu}$  we have

$$\int_0^1 |\mathbf{m}_x^\varepsilon|^2 dx \leq C \quad \text{and} \quad \int_0^1 (1 - |\mathbf{m}^\varepsilon|^2)^2 \leq C$$

for some constant  $C$  (which is independent of  $\varepsilon$ ). Since  $H^1(0, 1)$  is compactly embedded in  $C[0, 1]$ , we can extract a subsequence, still denoted by  $\{\mathbf{m}_{\varepsilon_n}\}$ , such that converges weakly in  $H^1(0, 1)$  and strongly in  $C[0, 1]$  to a limit  $\mathbf{m}^0 \in H^1((0, 1); S^1)$ . Since for each  $\varepsilon$ , and each  $\mathbf{m} \in H^1((0, 1); S^1)$ ,  $F(\mathbf{m}^\varepsilon) \leq F(\mathbf{m})$ , we get that

$$\limsup_{\varepsilon_n \rightarrow 0} F(\mathbf{m}^{\varepsilon_n}) \leq F(\mathbf{m}). \quad (3.30)$$

On the other hand, the weak lower-semicontinuity of the  $L^2$ -norm of the gradient, combined with the uniform convergence of  $\{\mathbf{m}^{\varepsilon_n}\}$  towards  $\mathbf{m}^0$ , yields

$$F(\mathbf{m}^0) \leq \liminf_{\varepsilon_n \rightarrow 0} F(\mathbf{m}^{\varepsilon_n}). \quad (3.31)$$

Combining (3.30) with (3.31) we deduce that  $F(\mathbf{m}^0) \leq F(\mathbf{m})$ ,  $\forall \mathbf{m} \in H^1((0,1); S^1)$ , i.e.,  $\mathbf{m}^0$  is a minimizer for  $I(\mu)$ . It also follows that the convergence  $\mathbf{m}^{\varepsilon_n} \rightarrow \mathbf{m}^0$  is actually strong in  $H^1(0,1)$ .  $\square$

We are now in position to state our main result for the minimization problem (3.2).

**Theorem 3.7**

- (i) For each  $\mu < \lambda_2/2$  there exists  $\varepsilon_0(\mu) > 0$  such that for  $\varepsilon \leq \varepsilon_0(\mu)$  we have  $\mathcal{F}_{\mu,\varepsilon} = 0$  and the only minimizers for (3.2) are constant functions  $\mathbf{m}^\varepsilon \equiv \boldsymbol{\alpha} \in S^1$ .
- (ii) For  $\mu > \lambda_2/2$  we have  $\mathcal{F}_{\mu,\varepsilon} < 0$  for every  $\varepsilon > 0$ . For each  $\varepsilon > 0$  we may choose a representative for the minimizer  $\mathbf{m}^\varepsilon$  (by replacing  $\mathbf{m}^\varepsilon$  with  $\mathcal{S}_i(\mathbf{m}^\varepsilon)$ , see (3.3)) such that  $\lim_{\varepsilon \rightarrow 0} \mathbf{m}^\varepsilon = \mathbf{m}^0$  in  $H^1(0,1)$  and in  $C[0,1]$ , where  $\mathbf{m}^0 \in C^\infty([0,1]; S^1)$  is a non-trivial minimizer for  $I(\mu)$ .
- (iii) In the limiting case  $\mu = \lambda_2/2$ , we have for a subsequence,  $\lim_{\varepsilon_n \rightarrow 0} \mathbf{m}^{\varepsilon_n} = \boldsymbol{\alpha}$  in  $H^1(0,1)$  and in  $C[0,1]$ , for some constant  $\boldsymbol{\alpha} \in S^1$ .

PROOF. (i) By Proposition 3.6 we have, in particular, that  $\lim_{\varepsilon \rightarrow 0} |\mathbf{m}^\varepsilon| = 1$ , uniformly on  $[0,1]$ . Hence, for any  $\delta > 0$  we have, for  $\varepsilon \leq \varepsilon_1(\mu)$ ,

$$1 - \delta \leq |\mathbf{m}^\varepsilon(x)| \leq 1 + \delta, \quad x \in [0,1]. \quad (3.32)$$

In particular, if  $\delta \leq 1/2$ , say, then we may write  $\mathbf{m}^\varepsilon = \rho e^{i\phi}$ , with  $\rho = |\mathbf{m}^\varepsilon|$ . A simple computation gives

$$\begin{aligned} F(\mathbf{m}^\varepsilon) &= \frac{1}{2} \int_0^1 (\rho^2 |\phi_x|^2 + |\rho_x|^2) dx + \frac{1}{4\varepsilon} \int_0^1 (1 - \rho^2)^2 dx \\ &\quad - \frac{\mu}{4} \int_0^1 \left( \rho^2 \sin 2\phi - \int_0^1 \rho^2 \sin 2\phi dt \right)^2 dx. \end{aligned} \quad (3.33)$$

By the Cauchy-Schwarz inequality we get,

$$\begin{aligned} &\int_0^1 \left( \rho^2 \sin 2\phi - \int_0^1 \rho^2 \sin 2\phi dt \right)^2 dx \\ &= \int_0^1 \left( \left( \sin 2\phi - \int_0^1 \sin 2\phi dt \right) + (\rho^2 - 1) \sin 2\phi - \int_0^1 (\rho^2 - 1) \sin 2\phi dt \right)^2 dx \\ &\leq (1 + \delta) \int_0^1 \left( \sin 2\phi - \int_0^1 \sin 2\phi dt \right)^2 dx \\ &\quad + 2\left(1 + \frac{1}{\delta}\right) \left( \int_0^1 (1 - \rho^2)^2 \sin^2 2\phi dx + \left( \int_0^1 (1 - \rho^2) \sin 2\phi dx \right)^2 \right) \\ &\leq (1 + \delta) \int_0^1 \left( \sin 2\phi - \int_0^1 \sin 2\phi dt \right)^2 dx + 4\left(1 + \frac{1}{\delta}\right) \int_0^1 (1 - \rho^2)^2 dx. \end{aligned} \quad (3.34)$$

Combining (3.34) with (3.33) and (3.32) yields

$$F(\mathbf{m}^\varepsilon) \geq \frac{(1-\delta)^2}{2} \int_0^1 |\phi_x|^2 - \frac{\mu(1+\delta)}{4} \int_0^1 (\sin 2\phi - \int_0^1 \sin 2\phi dt)^2 + \left(\frac{1}{4\varepsilon} - \mu(1 + \frac{1}{\delta})\right) \int_0^1 (1-\rho^2)^2 dx. \quad (3.35)$$

Since  $\mu < \lambda_2/2$  we can fix  $\delta$  small enough so that

$$\tilde{\mu} := \frac{1+\delta}{(1-\delta)^2} \mu < \frac{\lambda_2}{2}.$$

For  $\varepsilon$  small enough such that  $\frac{1}{4\varepsilon} \geq \mu(1+1/\delta)$  we obtain from (3.35)

$$0 \geq F(\mathbf{m}^\varepsilon) \geq (1-\delta)^2 \left\{ \frac{1}{2} \int_0^1 |\phi_x|^2 dx - \frac{\tilde{\mu}}{4} \int_0^1 (\sin 2\phi - \int_0^1 \sin 2\phi dt)^2 dx \right\} + \frac{1}{8\varepsilon} \int_0^1 (1-\rho^2)^2 dx \geq 0. \quad (3.36)$$

By Proposition 3.4 strict inequality holds for the last inequality on the r.h.s. of (3.36), unless  $\mathbf{m}^\varepsilon$  equals identically a constant of modulus one, hence the result.

(ii) By Proposition 3.4 we have in this case,

$$\mathcal{F}_{\mu,\varepsilon} \leq I(\mu) < 0.$$

The convergence assertion follows from Proposition 3.6 and the uniqueness result Proposition 3.5.

(iii) This part is a direct consequence of Proposition 3.6 and Proposition 3.4.  $\square$

**Remark 3.8** *We do not know whether in the limiting case  $\mu = \lambda_2/2$  (case (iii)) the minimizer  $\mathbf{m}^\varepsilon$  is necessarily a constant for  $\varepsilon$  small enough, as in case (i).*

## 4 The analysis of the gradient flow equation

Let  $T$  be a positive number, we define  $Q = \Omega \times (0, T)$  and consider the initial boundary value problem

$$\mathbf{u}_t = \mathbf{u}_{xx} - \varepsilon^{-1}(|\mathbf{u}|^2 - 1)\mathbf{u} + \mu\Lambda(\mathbf{u})[\Lambda(\mathbf{u}) \cdot \mathbf{u} - \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx] \quad (4.1)$$

with the boundary conditions

$$\mathbf{u}_x(0, t) = \mathbf{u}_x(1, t) = 0, \quad t \in (0, T) \quad (4.2)$$

and the initial condition

$$\mathbf{u}(\mathbf{x}, 0) = \mathbf{u}^0(\mathbf{x}), \quad \mathbf{x} \in \Omega \equiv (0, 1) \quad (4.3)$$

Provided the solution  $\mathbf{u}(t)$  of (4.1), (4.2), (4.3) exists for all  $t$ , we show that  $\lim_{t \rightarrow \infty} \mathbf{u}(t) = \mathbf{u}_\infty$  exists and, for suitable choice of the initial datum  $\mathbf{u}^0$ , the function  $\mathbf{u}_\infty$  is a negative energy solution to (2.12), (2.13).

The following existence and uniqueness theorem holds

**Theorem 4.1** *Let  $\mathbf{u}^0(\mathbf{x}) \in C^2([0, 1]; S^1)$  (that is  $\mathbf{u}^0(\mathbf{x}) \in C^2[0, 1]$  and  $|\mathbf{u}^0| = 1$ ) which satisfies the condition  $\mathbf{u}_x^0(0) = \mathbf{u}_x^0(1) = 0$ , then for each  $\mu$  and for each positive  $\varepsilon$  small enough, that is  $\varepsilon^{-1} > 2\mu$ , there exists a unique solution  $\mathbf{u} \in C^2(Q)$  to the problem (4.1), (4.2), (4.3). Moreover the following estimates hold*

$$\int_0^T \int_0^1 |\mathbf{u}_t|^2 dx dt + \frac{1}{2} \int_0^1 |\mathbf{u}_x|^2 dx \leq C(\mathbf{u}^0) \quad (4.4)$$

$$\|\mathbf{u}\|_{L^\infty(Q)}^2 \leq C(\mathbf{u}^0) \quad (4.5)$$

where  $C(\mathbf{u}^0)$  is a positive constant depending on the initial datum  $\mathbf{u}^0$ .

PROOF. The existence of a classical solution follows from well known results established in [7, chap.VII] and a fixed point argument. Indeed we first consider the quasilinear parabolic system depending on a fixed parameter  $a \in \mathbb{R}$  i.e.

$$\mathbf{u}_t^a = \mathbf{u}_{xx}^a - \varepsilon^{-1}(|\mathbf{u}^a|^2 - 1)\mathbf{u}^a + \mu\Lambda(\mathbf{u}^a)[\Lambda(\mathbf{u}^a) \cdot \mathbf{u}^a - a], \quad \text{in } Q \quad (4.6)$$

with the boundary condition

$$\mathbf{u}_x^a(0, t) = \mathbf{u}_x^a(1, t) = 0, \quad t \in (0, T) \quad (4.7)$$

and the initial condition

$$\mathbf{u}^a(\mathbf{x}, 0) = \mathbf{u}^0(\mathbf{x}), \quad \mathbf{x} \in \Omega \quad (4.8)$$

Applying Theorem 7.1 established in [7, chap.VII] we easily get the existence and uniqueness of the solution  $\mathbf{u}^a \in C^2(Q)$  to the problem (4.6), (4.7), (4.8). Multiplying the equation (4.6) by  $\mathbf{u}^a$  one has

$$\frac{1}{2} \frac{d}{dt} |\mathbf{u}^a|^2 = \frac{1}{2} \frac{d^2}{dx^2} |\mathbf{u}^a|^2 - |\mathbf{u}_x^a|^2 - \varepsilon^{-1}(|\mathbf{u}^a|^2 - 1)|\mathbf{u}^a|^2 + \mu\Lambda(\mathbf{u}^a) \cdot \mathbf{u}^a [\Lambda(\mathbf{u}^a) \cdot \mathbf{u}^a - a]$$

recalling that  $\Lambda(\mathbf{u}) \cdot \mathbf{u} \leq |\mathbf{u}|^2$  we get the following inequality for  $|\mathbf{u}^a|^2$ , that is

$$\frac{1}{2} \frac{d}{dt} |\mathbf{u}^a|^2 - \frac{1}{2} \frac{d^2}{dx^2} |\mathbf{u}^a|^2 + \varepsilon^{-1}(|\mathbf{u}^a|^2 - 1)|\mathbf{u}^a|^2 - \mu|\mathbf{u}^a|^2[|\mathbf{u}^a|^2 + |a|] \leq 0 \quad (4.9)$$

Now putting  $K_1 = 1 - \mu\varepsilon$  and  $K_2 = 1 + |a|\mu\varepsilon$  we obtain

$$\frac{1}{2} \frac{d}{dt} |\mathbf{u}^a|^2 - \frac{1}{2} \frac{d^2}{dx^2} |\mathbf{u}^a|^2 + \varepsilon^{-1} (K_1 |\mathbf{u}^a|^2 - K_2) |\mathbf{u}^a|^2 \leq 0 \quad (4.10)$$

We assume  $\varepsilon$  small enough, more precisely  $\varepsilon^{-1} > \mu$  and introduce the function  $\mathbf{y} = K_1 |\mathbf{u}^a|^2 - K_2$ . From the maximum principle applied to

$$\frac{1}{2} \frac{d\mathbf{y}}{dt} - \frac{1}{2} \frac{d^2\mathbf{y}}{dx^2} + \varepsilon^{-1} K_1 |\mathbf{u}^a|^2 \mathbf{y} \leq 0$$

we deduce that the function  $\mathbf{y}$  can not assume a positive maximum in  $Q$  and since  $K_1 |\mathbf{u}^0|^2 - K_2 = K_1 - K_2 \leq 0$  we have  $\mathbf{y} \leq 0$  in  $\bar{Q}$  and so we get to the estimate

$$|\mathbf{u}^a|^2 \leq K = \frac{1 + |a|\mu\varepsilon}{1 - \mu\varepsilon}. \quad (4.11)$$

We consider then the map

$$g : a \mapsto \int_0^1 (\Lambda u^a \cdot u^a) dx.$$

It is a continuous map. Moreover for  $\varepsilon\mu < \frac{1}{2}$  if we choose  $|a|$  large enough such that

$$\mu\varepsilon < \frac{1}{2} - \frac{1}{2|a|}$$

we have by (4.11)

$$\left| \int_0^1 \Lambda u^a \cdot u^a dx \right| \leq |u^a|^2 \leq \frac{1 + |a|\mu\varepsilon}{1 - \mu\varepsilon} \leq |a|.$$

Thus  $g$  maps  $(-|a|, |a|)$  into itself and has a fixed point.

Now multiplying the equation (4.1) by  $\mathbf{u}_t$  and integrating on  $Q$  one has the following energy estimate

$$F(\mathbf{u}) = F(\mathbf{u}^0) - \int_0^T \int_0^1 |\mathbf{u}_t|^2 dx dt \quad (4.12)$$

where  $F(\mathbf{u}^0)$  is a constant depending on the initial datum but it is independent of  $\varepsilon$  since  $|\mathbf{u}^0| = 1$ . From the above equality we deduce in particular

$$\frac{1}{2} \int_0^1 |\mathbf{u}_x|^2 dx + \frac{\varepsilon^{-1}}{4} \int_0^1 (|\mathbf{u}|^2 - 1)^2 dx - \frac{\mu}{4} \int_0^1 (\Lambda(\mathbf{u}) \cdot \mathbf{u})^2 dx + \frac{\mu}{4} \left( \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx \right)^2 \leq F(\mathbf{u}^0)$$

that is

$$\frac{1}{2} \int_0^1 |\mathbf{u}_x|^2 dx + \frac{\varepsilon^{-1}}{4} \int_0^1 (|\mathbf{u}|^2 - 1)^2 dx - \frac{\mu}{4} \int_0^1 (|\mathbf{u}|^2 - 1 + 1)^2 dx + \frac{\mu}{4} \left( \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx \right)^2 \leq F(\mathbf{u}^0)$$

and hence

$$\frac{1}{2} \int_0^1 |\mathbf{u}_x|^2 dx + \frac{\varepsilon^{-1} - 2\mu}{4} \int_0^1 (|\mathbf{u}|^2 - 1)^2 dx + \frac{\mu}{4} \left( \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx \right)^2 \leq F(\mathbf{u}^0) + \frac{\mu}{2}$$

Since we have assumed  $\varepsilon^{-1} \geq 4\mu$ , the above inequality implies in particular

$$\frac{\mu}{4} \left( \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx \right)^2 \leq F(\mathbf{u}^0) + \frac{\mu}{2}$$

We use this estimate for computing the  $L^\infty$ -norm of the solution to (4.1), (4.2), (4.3). Indeed setting

$$|a| = \left| \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx \right| \leq 2\mu^{-1/2} \left( F(\mathbf{u}^0) + \frac{\mu}{2} \right)^{1/2}$$

and putting this in (4.11) we obtain the desired estimate.

$$|\mathbf{u}|^2 \leq \frac{1 + 2\sqrt{\mu} \left( F(\mathbf{u}^0) + \mu/2 \right)^{1/2} \varepsilon}{1 - \mu\varepsilon}, \quad \varepsilon^{-1} \geq 4\mu \quad (4.13)$$

The uniqueness of the solution follows from the estimate (4.13). Indeed using the condition (4.13) one can easily prove that the function

$$N(\mathbf{u}) = -\varepsilon^{-1}(|\mathbf{u}|^2 - 1)\mathbf{u} + \mu\Lambda(\mathbf{u})[\Lambda(\mathbf{u}) \cdot \mathbf{u} - \int_0^1 \Lambda(\mathbf{u}) \cdot \mathbf{u} dx]$$

is Lipschitz continuous, that is there exists a positive constant  $L$  such that

$$|N(\mathbf{u}^1) - N(\mathbf{u}^2)| \leq L|\mathbf{u}^1 - \mathbf{u}^2|$$

Now as a common praxis we assume that there are two solutions  $\mathbf{u}^1$  and  $\mathbf{u}^2$  of the problem (4.1), (4.2), (4.3), then

$$\mathbf{u}_t^1 - \mathbf{u}_t^2 = \mathbf{u}_{xx}^1 - \mathbf{u}_{xx}^2 + N(\mathbf{u}^1) - N(\mathbf{u}^2)$$

and hence multiplying the above equation by the function  $\mathbf{y} = \mathbf{u}^1 - \mathbf{u}^2$ , integrating in  $\Omega$  and taking into account the boundary and initial conditions one has

$$|\mathbf{y}|_t^2 \leq 2L|\mathbf{y}|^2, \quad \mathbf{y}(\cdot, 0) = 0$$

that implies  $\mathbf{y} \equiv 0$  in  $Q$ .  $\square$

**Lemma 4.2** *Let  $\mathbf{u}$  the solution of the problem (4.1), (4.2), (4.3) then there exists a positive constant  $K$  such that the following estimate holds*

$$\int_0^\infty \left| \frac{d}{dt} \|\mathbf{u}_t\|_{L^2(0,1)}^2 \right| dt \leq K$$

PROOF. We write the equation (4.1) in the form

$$\mathbf{u}_t = \mathbf{u}_{xx} + N(\mathbf{u})$$

derivating with respect to  $t$  and multiplying by  $\mathbf{u}_t$  we obtain

$$\frac{1}{2} \frac{d}{dt} \int_0^1 |\mathbf{u}_t|^2 dx + \int_0^1 |\mathbf{u}_{xt}|^2 dx = \int_0^1 N'(\mathbf{u}) |\mathbf{u}_t|^2 dx. \quad (4.14)$$

Hence from (4.14), (4.4), (4.5)

$$\int_0^T \left| \frac{d}{dt} \|\mathbf{u}_t\|_{L^2(0,1)}^2 \right| dt \leq 2 \left| \int_Q N'(\mathbf{u}) |\mathbf{u}_t|^2 dx \right| \leq K$$

and the proof of the lemma easily follows.  $\square$

From Lemma 4.2 follows that

$$\lim_{t \rightarrow \infty} \|\mathbf{u}_t\|_{L^2(0,1)} = 0. \quad (4.15)$$

Now we can prove the following theorem

**Theorem 4.3** *Let  $\mathbf{u}$  the solution of the problem (4.1), (4.2), (4.3) then as  $t \rightarrow \infty$  there exists a sequence  $t_k$  such that*

$$\mathbf{u}(\mathbf{x}, t_k) \rightharpoonup \mathbf{u}_\infty(\mathbf{x}) \quad \text{in } H^1(0, 1) \quad (4.16)$$

where  $\mathbf{u}_\infty(\mathbf{x})$  is a stationary point of (4.1). Moreover all the weakly convergent sequences converge to stationary points.

PROOF. Let  $\mathbf{u}^k = \mathbf{u}(\cdot, t_k)$  be the solution of (4.1), (4.2), (4.3) at time  $t_k$ . From the estimate (4.4) it follows that, passing to a subsequence if it is necessary,

$$\mathbf{u}^k \rightharpoonup \mathbf{u}_\infty \quad \text{weakly in } H^1(0, 1) \quad (4.17)$$

$$\mathbf{u}^k \rightarrow \mathbf{u}_\infty \quad \text{strongly in } L^2(0, 1) \quad (4.18)$$

$$\mathbf{u}^k \cdot \Lambda(\mathbf{u}^k) \rightarrow \mathbf{u}_\infty \Lambda(\mathbf{u}_\infty) \quad \text{strongly in } L^2(0, 1) \quad (4.19)$$

$$|\mathbf{u}^k|^2 \rightarrow |\mathbf{u}_\infty|^2 \quad \text{strongly in } L^2(0, 1) \quad (4.20)$$

Now we have to prove that  $\mathbf{u}_\infty$  is a solution of the stationary problem. For this we multiply the equation (4.1) by  $\mathbf{v} \in \mathbf{H}^1(0, 1)$  one has

$$\int_0^1 \mathbf{u}_t^k \cdot \mathbf{v} dx = - \int_0^1 \mathbf{u}_x^k \cdot \mathbf{v}_x dx - \varepsilon^{-1} \int_0^1 (|\mathbf{u}^k|^2 - 1) \mathbf{u}^k \cdot \mathbf{v} dx + \quad (4.21)$$

$$+ \mu \int_0^1 \Lambda(\mathbf{u}^k) \cdot \mathbf{v} \left[ \Lambda(\mathbf{u}^k) \cdot \mathbf{u}^k - \int_0^1 \Lambda(\mathbf{u}^k) \cdot \mathbf{u}^k dx \right] \quad (4.22)$$

From Lemma 4.2 and from the convergence established above it follows that  $\mathbf{u}_\infty$  is a weak solution of the stationary problem.  $\square$

**Corollary 4.4** *Let  $\mathbf{u}^0$  be a function verifying the hypotheses of Theorem 4.1. If  $F(\mathbf{u}^0) < 0$  then the limit function  $\mathbf{u}_\infty(\mathbf{x})$  defined in Theorem 4.3 is a negative energy stationary point of (3.1).*

PROOF. The proof easily follows from the energy estimate (4.12). Indeed since the system is dissipative we have

$$F(\mathbf{u}_\infty) \leq F(\mathbf{u}^0)$$

## Acknowledgments

The work has been partially supported by the European Community under the contract HPRN-CT-2002-00284 SMART SYSTEMS. MC acknowledges the support of the Swiss National Science Foundation under the contracts # 20-105155/1 and # 20-113287/1. The research of I.S. was partially supported by Research Training Network “Fronts-Singularities” (RTN contract: HPRN-CT-2002-00274).

## References

- [1] W.F. Brown, *Micromagnetics*, John Wiley & Sons (Interscience) 1963.
- [2] W.F. Brown, *Magnetoelastic Interactions*, *Springer Tracts in Natural Philosophy*, vol.9, Springer Verlag 1966.
- [3] A. DeSimone, G. Dolzmann, *Existence of minimizers for a variational problem in two-dimensional nonlinear magnetoelasticity*. Arch. Rational Mech. Anal., 144 (1998), 107–120.
- [4] A. DeSimone, R.D. James, *A constrained theory of magnetoelasticity*. J. Mech. Phys. Solids, 50 (2002), 283–320.
- [5] S. He, *Modélisation et simulation numérique de matériaux magnétostrictifs*. PhD thesis, Université Pierre et Marie Curie, (1999).
- [6] D. Kinderlehrer, *Magnetoelastic interactions*, in *Variational methods for discontinuous structures*, vol. 25, Prog. Nonlinear Differential Equations Appl., Birkhäuser Basel, (1996) 177–189.
- [7] O.A. Ladyženskaja, V.A. Solonnikov and N.N. Ural’ceva, *Linear and Quasilinear Equations of Parabolic Type*, Trans. Math. Monographs **23**, American Mathematical Society (1968).